



THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re patent application of

Shigeru Yoneda

Serial No.: 10/612,018

Group Art Unit: 2874

Filed: July 3, 2003

Examiner: Akm Enayet Ullah

For: ARRAYED WAVEGUIDE GRATING, ARRAYED WAVEGUIDE GRATING  
MODULE, ARRAYED WAVEGUIDE GRATING MODULE WAVEGUIDE  
COMPENSATION METHOD, OPTICAL COMMUNICATION SYSTEM

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**REQUEST FOR CONSIDERATION OF**  
**INFORMATION DISCLOSURE STATEMENTS**

Sir:

Applicant respectfully requests that the Information Disclosure Statements submitted and filed on July 3, 2003, and September 26, 2003, be officially considered of record. Attached is a copy of the Forms PTO-1449 filed on July 3, 2003, and September 26, 2003, as well as a copy of the date-stamped postcards. Applicant respectfully requests that the Examiner officially acknowledge the disclosed information and promptly return the initialed Form PTO-1449.

Respectfully submitted,

Sean M. McGinn, Esq.  
Reg. No. 34,386

Dated: 12/30/03

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FUX.017DIV  
SMML/KS

Serial Number: 10 612, 018

Attorney's Post Card Filing Receipt

Papers Filed On: 9 26 03

Attorney's Docket Number: 2000 P 371434 ☒ Patent

☐ Trademark

Applicant's Name: Shigeru Yoneda Application Filing Date: 7 8 03

Papers Filed Herewith:

- |  |   |   |
|--|---|---|
| <input type="checkbox"/> Amendment           | <input type="checkbox"/> Request for Extension of Time              | <input type="checkbox"/> CPA Request          |
| <input type="checkbox"/> Notice of Appeal    | <input type="checkbox"/> Appeal Brief (in triplicate)               | <input type="checkbox"/> Reply Brief          |
| <input checked="" type="checkbox"/> IDS      | <input checked="" type="checkbox"/> 1449 Form w/ <u>0</u> Documents | <input type="checkbox"/> Priority Document(s) |
| <input type="checkbox"/> Assignment          | <input type="checkbox"/> Recordation Cover Sheet                    | <input type="checkbox"/> Formal Drawings      |
| <input type="checkbox"/> Drawing Corrections | <input type="checkbox"/> Issue Fee Transmittal                      | <input type="checkbox"/> Missing Parts        |
| <input type="checkbox"/> Other _____         |   |   |

Fees Filed Herewith: \$ \_\_\_\_\_ ☐ Check ☐ Charge Deposit Account: \_\_\_\_\_

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FUX.017DIV  
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Serial Number: 10 612, 018

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☐ Trademark

Applicant's Name: Shigeru Yoneda Application Filing Date: 7 8 03

Papers Filed Herewith:

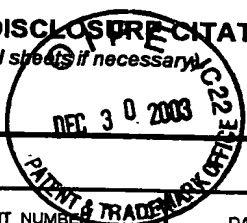
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| <input type="checkbox"/> Amendment           | <input type="checkbox"/> Request for Extension of Time              | <input type="checkbox"/> CPA Request          |
| <input type="checkbox"/> Notice of Appeal    | <input type="checkbox"/> Appeal Brief (in triplicate)               | <input type="checkbox"/> Reply Brief          |
| <input checked="" type="checkbox"/> IDS      | <input checked="" type="checkbox"/> 1449 Form w/ <u>0</u> Documents | <input type="checkbox"/> Priority Document(s) |
| <input type="checkbox"/> Assignment          | <input type="checkbox"/> Recordation Cover Sheet                    | <input type="checkbox"/> Formal Drawings      |
| <input type="checkbox"/> Drawing Corrections | <input type="checkbox"/> Issue Fee Transmittal                      | <input type="checkbox"/> Missing Parts        |
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# INFORMATION DISCLOSURE CITATION

(Use several sheets if necessary)



ATTY DOCKET NO.

2000P371434 DIV1

SERIAL NO.

10/612,018

APPLICANT(S)

Shigeru Yoneda

FILING DATE

July 3, 2003

GROUP

Not Yet Assigned

## U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

## FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
	10-303815	11/13/98	JAPAN			ABS	
	08-251105	09/27/96	JAPAN			ABS	
	11-014858	01/22/99	JAPAN			ABS	

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

		Japanese Office Action dated June 30, 2003, with partial English translation.
		Year 2000 Electronics Information Academic Communication Society Conference B-10-76, p. 299.

EXAMINER

DATE CONSIDERED

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



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**2000P371434 DIV**

**Not Yet Assigned**

**Shigeru Yoneda**

**Concurrently Herewith**

**Not Yet Assigned**

**U.S. PATENT DOCUMENTS**

[illegible]

## FOREIGN PATENT DOCUMENTS

[illegible]

**OTHER DOCUMENTS** (Including Author, Title, Date, Pertinent Pages, Etc.)

			K. Maru et al., "Athermal arrayed-waveguide grating multi/demultiplexer", Transactions of Institute of Electronics and Data Communication Engineers of Japan, Optoelectronic System Laboratory, Hitachi Cable, Ltd., C-3-76, 2000, p. 256.
			P.C. Clemens, et al., "Wavelength-Adaptable Optical Phased Array in SiO <sub>2</sub> -Si" IEEE Photonics Technology Letters, Vol. 7, No. 10, October 1995, pp. 1040-1041.

## KAMINER

DATE CONSIDERED

XAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.